REQUEST FOR PROPOSAL

BID NUMBER: ECIC03P-2025/26

PROVISION OF INTERNAL AUDIT SERVICES FOR FIVE YEARS

CLOSING DATE: 9 DECEMBER 2025

CLOSING TIME: 11H00 (SAST, OBTAINABLE BY DIALLING TELKOM ON 1026)

Address Byls Bridge Office Park, Building 9, Fourth Floor, 11 Byls Bridge Boulevard, Highveld Extension 73, Centurion, 0157, South Africa
Tel +27 (0)12 471 3800 | **Email** info@ecic.co.za | **Website** www.ecic.co.za
Directors: Ms. DP Ndlovu (Chair), Mr. NG Maphula (Acting CEO), Mr. S Mayekiso, Ms. SK O' Mahony, Mr. BI Mkhize, Ms. P Mthethwa, Mr. W van Der Spuy, *Mr. L. Govender, Mr S Bhanisi | Company Secretary: Ms. N Moffatt
Company registration no: 2001/013128/30 | ECIC is a licensed non-life insurer and authorised Financial Services Provider (FSP 30656). Currently exempted in terms of FAIS Notice 78 of 2019.
*alternate to Mr. W van Der Spuy
YOUR EXPORT RISK PARTNER

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A. REQUEST FOR PROPOSAL

1. Introduction

1.1. The Export Credit Insurance Corporation of South Africa (SOC) Limited[1] (ECIC or the Corporation) is a self-sustained state-owned entity listed under Schedule 3B of the Public Finance Management Act 1 of 1999 (as amended) and established in terms of the Export Credit and Foreign Investments Insurance Act 78 of 1957 (as amended).

1.2. The mandate of ECIC is to facilitate and encourage South African export trade by underwriting export credit loans and investments outside the country, to enable South African contractors to win goods and services contracts in countries outside South Africa. ECIC is a registered Financial Service Provider and is regulated by the Financial Sector Conduct Authority and Prudential Authority (FSP No: 30656). Currently exempted in terms of FAIS Notice 78 of 2019.

1.3. ECIC currently operates at the following address:

Byls Bridge Office Park
Building 9, Fourth Floor
11 Byls Bridge Boulevard
Highveld Extension 73
Centurion
0157

2. Background

2.1. The ECIC is required to have an internal audit function, in terms of the Public Finance Management Act, 1 of 1999 and currently outsources its internal audit function. The current contract is due to expire on 30 September 2025.

2.2. The corporate structure of the ECIC is as follows:

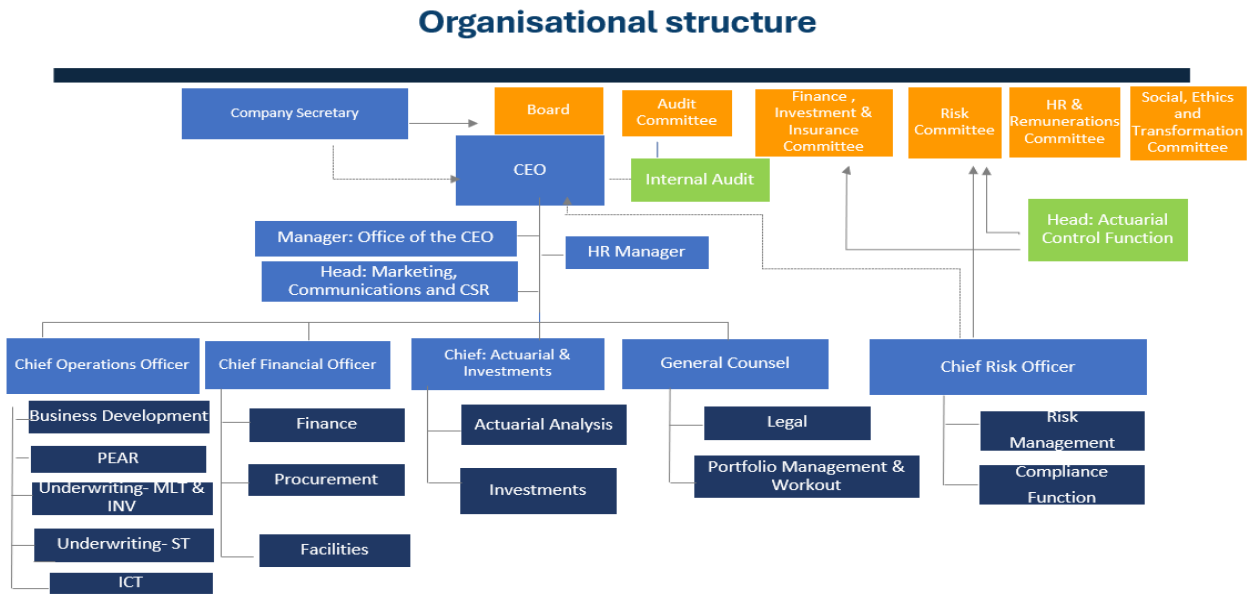

Figure 1

[1] Further information on the ECIC can be found at www.ecic.co.za

2.3. The appointment will be subject to approval by the relevant regulatory authorities in line with the ECIC's Procurement Policy, which includes:

2.3.1. Prudential Authority (of the South African Reserve Bank).

3. Purpose

3.1. The purpose of this Request for Proposal is to appoint a service provider to provide internal audit services, in compliance with the International Professional Practices Framework (IPPF) as determined by the Institute of Internal Auditors (IIA), for five years.

4. Pre-qualification

The bid is open to all bidders who meet the following pre-qualification requirements:

4.1.1. The bidder has a Director, Senior Manager, and Manager (or equivalents) who is a Certified Internal Auditor (CIA). The certification must be valid and issued by the Institute of Internal Auditors of South Africa.

4.1.2. An External Quality Assurance assessment of at least "Partially Conforms" within the last five years by a qualified, independent reviewer.

5. Procurement Regulations

5.1. This bid is subject to the Preferential Procurement Policy Framework Act No. 5 of 2000 and the Preferential Procurement Regulations, 2022, the General Conditions of Contract (GCC) and, if applicable, any other special conditions of contract. Where, however, the special conditions of contract conflict with the general conditions of contract, the special conditions of contract prevail.

B. TERMS OF REFERENCE

6. Scope of services

6.1. The appointed bidder will be required to perform the following:

6.1.1. Risk-based audits per the approved annual Internal Audit Plan.

6.1.2. Ad hoc projects when required and approved by the Audit Committee.

7. Work requirements

7.1. It is envisaged that the appointed bidder will perform, amongst others, the following activities in rendering the internal audit service:

7.1.1. **Planning requirements**

7.1.1.1. Developing a flexible rolling three year internal audit plan (IA Plan) and annual internal audit plan (including the scope, cost, and timelines of each audit) using an appropriate risk-based methodology, including any risks and opportunities or control concerns identified by management or directed by Audit Committee, which is informed by the ECIC's strategic risks and opportunities.

7.1.1.2. Submitting the internal audit plan to the Audit Committee for review and approval, as well as periodic updates throughout the year.

7.1.1.3. Preparation of an Audit Planning Memorandum (APM) describing the audit scope for each auditable area.

7.1.1.4. Collaborate with relevant internal and external service and assurance providers, including Management, to ensure proper coverage and minimal duplication of effort.

7.1.2. **Execution requirements**

7.1.2.1. Implementing the annual audit plan as approved, including, as appropriate, any special tasks or projects approved by the Audit Committee.

7.1.2.2. Attending all Audit Committee and Risk Committee meetings throughout the year and any other meeting requested by the Audit Committee. The following meetings are expected to be attended by the internal auditors:

Table 1

Meeting	Number of meetings
Board	4
Audit Committee	4
Risk Committee	4
Executive Committee	4

7.1.2.3. Through using the risk-based approach, assure the Audit Committee that sufficient work has been done, not only over financial matters, but also operational, compliance, etc.

7.1.2.4. Performing an objective assessment of the adequacy and effectiveness of risk and opportunity management and all other elements of the internal control framework. Performing reviews of ECIC's governance process, including ethics.

7.1.2.5. Conduct follow-up reviews to evaluate Management action plans against agreed timelines.

7.1.2.6. Keeping the Corporation informed of emerging trends and successful practices in internal auditing.

7.1.2.7. Analysing and evaluating business processes and associated controls in support of the achievement of divisional and ultimately ECIC's strategy and objectives.

7.1.2.8. Evaluating and assessing significant new or changing services, processes, operations, and control processes.

7.1.2.9. Providing, with the approval of the Audit Committee, consulting services beyond internal auditing's assurance services, if required, which do not prejudice the responsibilities required of internal audit, to assist Management in meeting its objectives.

7.1.2.10. Developing and maintaining a quality assurance and improvement program that covers all aspects of the internal audit function, including internal and external quality assessments.

7.1.3. **Reporting requirements:**

7.1.3.1. Presenting an audit report to Management after each audit and a quarterly summary report on critical and significant matters to the Audit Committee related to the processes for controlling the activities of ECIC, including potential improvements to those processes, and providing information concerning such issues through active and constructive resolution.

7.1.3.2. Periodically providing information on the status and results of the annual audit plan and the sufficiency of the internal audit function's resources.

7.1.3.3. Providing annually an objective assessment on the adequacy and effectiveness of ECIC's processes, internal controls and managing its risks and opportunities set forth under the mission and scope of work.

7.1.3.4. Annually provide a written assessment of the internal control environment, governance, and risk management to the Audit Committee.

8. Outcomes

8.1. The appointed bidder will be responsible for the following outcomes:

8.1.1. Providing effective value adding internal audit services that are innovative and responsive to the needs of ECIC and its operating environment.

8.1.2. Assisting management and the Audit Committee of ECIC in the effective discharge of their responsibilities by providing assurance that management processes are adequate to identify and monitor significant risks.

8.1.3. Confirming through analysis, appraisal, recommendations, advice and insights that established systems of internal controls are adequately designed and are operating effectively and efficiently.

8.1.4. Reviewing the systems of internal control to ascertain whether they are functioning as designed.

8.1.5. Providing internal audit services in line with the performance and attributes standards endorsed by the Institute of Internal Auditors (IIA).

8.1.6. Providing services in line with the Public Finance Management Act (PFMA), the Companies Act, the Insurance Act and the Governance and Operational Standards for Insurers (GOIs).

8.1.7. Reviewing the accuracy, reliability, and integrity of financial and non–financial information and the means used to identify, classify, and report such information.

8.1.8. Reviewing and appraising the economic, effectiveness and efficiency with which resources are employed.

8.1.9. Reviewing specific programmes or initiatives to ascertain whether results are consistent with established objectives and goals, and whether the programmes or initiatives are being carried out as planned.

8.1.10. Reviewing systems established to ensure compliance with policies, plans, procedures, laws and regulations that could have a significant impact on operations and reports and determine whether the organisation complies.

8.1.11. Providing assurance on the cybersecurity measures taken by the Corporation.

8.1.12. Performing internal audit services in such a manner that at least moderate reliance is placed on the function of the Internal Audit by the external auditor.

8.2. The coordinated approach mentioned in paragraph 8 shall be used to maximise internal audit resources and coverage and to ensure that the appointed bidder provides the greatest value to ECIC. Matters to be considered in developing the Annual Audit Plans shall include, amongst others, the following:

8.2.1. Significant areas of potential risk of fraud.

8.2.2. The accuracy and completeness of financial statements.

8.2.3. Major changes in operations, organisational structures, systems, and controls.

8.2.4. Safeguarding of assets.

8.2.5. Compliance with laws, regulations, contracts, policies, and procedures.

8.2.6. Risk areas identified by management.

8.2.7. Results of previous audits.

8.2.8. Significant areas of risk related to the reliability and integrity of financial and operational information or failure to deliver on the ECIC mandate.

8.2.9. The appropriateness of its staff mix and expertise, relative to a specific engagement.

8.2.10. Coordination with the external auditor and ensuring alignment with the external audit function processes and best practice. The internal audit function will be required to meet with the external auditor on an annual basis to discuss the internal audit plan.

8.2.11. Maintaining a functional quality assurance and improvement programme that covers all aspects of the internal audit services and, at least once during a five-year cycle or as determined by the Audit Committee, be subjected to an independent quality assurance review.

9. Expertise and capacity

9.1. Bidders are required to:

9.1.1. Have knowledge and a comprehensive understanding of the public sector, and an understanding of the PFMA and its related Treasury Regulations.

9.1.2. Demonstrate their ability to conduct audits from the premises of ECIC in Centurion, City of Tshwane.

9.1.3. Demonstrate to ECIC that the Engagement Director (or equivalent) is directly involved in every engagement review undertaken at the Corporation. The engagement director (or equivalent) will fill the role of a Chief Audit Executive, and as such, the assigned engagement directors must demonstrate qualification, competence, and experience to fulfil this role. Have a quality control department responsible for ensuring the IIA standards are appropriately applied.

9.1.4. Demonstrate that they adhere to the IIA standards, particularly as they relate to:

9.1.4.1. The application of a risk-based approach to auditing.

9.1.4.2. The use of IT audit resources (including the appropriate tools).

10. General

10.1. The bidder is expected to provide detailed information on personnel that will be part of the audit (for example, relevant degree, accreditation with the IIA and any other relevant professional body (i.e., technical experience, etc).

10.2. It is mandatory that the senior resources of the appointed bidder (i.e., Directors, Senior Managers and Managers or equivalents) have the appropriate professional qualification(s) enabling them to perform internal audit services.

10.3. The appointed bidder is to maintain professional audit staff with sufficient knowledge, skills, experience, and professional certifications.

11. Bid/contract conditions

11.1. ECIC will not evaluate any bid received from a bidder that does not meet the full requirements as stipulated under the scope of services in paragraph 6.

11.2. The successful bidder must sign a confidentiality undertaking as part of the service level agreement.

11.3. The agreement may be terminated by the ECIC if it has reasonable grounds to do so, with not less than 90 days' prior written notice. A termination clause will form part of the agreement and may include events such as unsatisfactory performance, defining events, departure of key personnel, governance and ownership issues and reputational risks.

12. Conditions of award

12.1. As part of awarding to the identified bidder, ECIC will require that the identified bidder provide a copy of their:

12.1.1. List of non-life insurance clients.

12.1.2. Conflict of Interest Policy;

12.1.3. Business Rescue Declaration;

12.1.4. Governance Structures;

12.1.5. Management Policies Framework;

12.1.6. Risk Management Policy;

12.1.7. Information Disclosure Policy; and

12.1.8. Professional Indemnity and Public Liability Insurance for Accountants and Auditors.

13. Due diligence/site inspection

13.1. At the ECIC's discretion, a due diligence and/or site inspection may be conducted on the identified bidder. ECIC will visit the identified bidders' premises or bidder's client (with

permission from the bidder) with the objective of verifying information as contained in their respective bid documents.

13.2. Where applicable, the ECIC will issue criteria for the due diligence review or site inspection beforehand to the applicable bidder(s). Should it be discovered during a due diligence visit or site inspection that the information submitted by the identified bidder is inconsistent with what is on their current premises of business, ECIC reserves the right to disqualify such bidder.

13.3. ECIC may identify another bidder using the next highest points obtained in the evaluation phase, as stipulated in paragraph 14.1.3, taking into consideration the process followed under paragraphs 13.1 and 13.2.

14. Bid evaluation

14.1. The proposals will be evaluated in phases, as highlighted below and detailed in paragraphs 14.1.1 and 14.1.4 to 21 of this document:

14.1.1. **Phase One: Pre-qualification**

Compliance with the requirements of this bid in this evaluation phase, all bidders that fail to provide the required information and documentation will be disqualified from further evaluation.

14.1.2. **Phase Two: Functional evaluation (Desktop evaluation)**

In this evaluation phase, bidders are expected to obtain a minimum of 80 out of 100 points to proceed to the next evaluation stage of the evaluation. Failure to obtain the prescribed minimum points will automatically disqualify the bid offer from proceeding to the next evaluation phase.

14.1.3. **Phase Three: Preference point system**

The 80/20 preference point system shall apply to this phase, where 80 points represent the maximum obtainable points for the lowest acceptable price and 20 points represent the maximum obtainable points for Specific Goals. Points will be awarded to a bidder for attaining the Specific Goals in accordance with the table as listed in the bid documentation (refer to paragraph 17, read together with the table on the **page 39 (paragraph 4.1)**.

14.1.4. **Phase Four: Objective criteria**

ECIC will apply objective criteria as detailed in the paragraph 19 require the approval of the Prudential Authority for the identified service provider.

15. Evaluation Phase One: Pre-qualification

15.1. ECIC will only consider a bid if the relevant bidder meets all the requirements as mentioned in paragraph 4. Where a bidder fails to meet the pre-qualification criteria, the bid will be considered an unacceptable bid and will be disqualified from further evaluation.

16. Evaluation Phase Two: Functional

16.1. The proposal submitted by the bidder will be evaluated by the ECIC based on the following criteria and be rated as the factor score over 5 multiplied by the applicable points:

16.2. Factor scores: 0=Poor, 1=Below average, 2=Average, 3=Good, 4=Very Good, 5=Excellent

Table 2

EVALUATION CRITERIA	EVALUATION CRITERIA DESCRIPTION	DESCRIPTION OF POINTS ALLOCATION		SUB POINTS	POINTS
		DETAILS/INFORMATION	Factor		
1. Suitable audit experience and relevant references.	1.1. Experience and expertise in providing internal audit services supported by relevant reference letters which provide sufficient detail. a. The bidder must demonstrate PFMA experience with a least two (2) references on client letterhead or contactable referees (references) of previous internal audit services in the past five (5) years from the closing date of this bid. b. ECIC will contact the references (referees) to validate the information provided. It is the responsibility of the bidder to provide latest contact details as ECIC will consider non-responses by the referees as invalid references.	1.1.1. Bidder did not submit at least two (2) references for public sector clients (reporting under the PFMA regime) on client letterhead or contactable referees (references) that show the provision of internal audit services, or the provided references are not for internal audit services conducted within the last five (5) years or the references provided are not for internal audit services or ECIC is not able to reach and validate the references provided (or combination thereof).	0	10	70
		1.1.2. Bidder provided at least two (2) references for public sector clients (reporting under the PFMA regime) on client letterhead or contactable referees (references) of previous internal audit services in the past five (5) years, and ECIC is able to validate the information provided.	5		

EVALUATION CRITERIA	EVALUATION CRITERIA DESCRIPTION	DESCRIPTION OF POINTS ALLOCATION		SUB POINTS	POINTS
		DETAILS/INFORMATION	Factor		
	1.2. Demonstrable Insurance Sector experience with at least two (2) references on client letterhead of previous internal audit services in the past five (5) years from the closing date of this bid. ECIC will contact the references (referees) to validate the information provided. It is the responsibility of the bidder to provide latest contact details as ECIC will consider non-responses by the referees as invalid references.	1.2.1. Bidder did not submit at least two (2) references for Insurance Sector clients on client letterhead or contactable referees (references) that show the provision of internal audit services, or the provided references are not for internal audit services conducted within the last five (5) years or the references provided are not for Insurance Sector clients or ECIC is not able to reach and validate the references provided (or combination thereof).	0	15	
		1.2.2. Bidder provided at least two (2) references for Insurance Sector clients on client letterhead or a list of contactable referees (references) of previous Insurance Sector clients in the past five (5) years, and ECIC is able to validate the information provided.	5		
	1.2.3. Submission of company profile, which demonstrates performance of relevant internal audit services for more than 5 years but less than 10 years 1.3.	1.3.1. The bidder has only performed internal audit services for a period less than five (5) years, or the services were performed at public sector clients who are not governed under the PFMA and clients in the insurance sector.	0	10	
		1.3.2. The bidder has at least five (5) years but less than ten (10) years of experience in conducting internal audit services to clients who are governed under the PFMA and clients in the insurance sector.	3		
		1.3.3. The bidder has at least ten (10) years experience in conducting internal audit services to clients who are governed under the PFMA and clients in the insurance sector.	5		

EVALUATION CRITERIA	EVALUATION CRITERIA DESCRIPTION	DESCRIPTION OF POINTS ALLOCATION		SUB POINTS	POINTS
		DETAILS/INFORMATION	Factor		
	1.4. Demonstration of specialised skills and expertise, with availability of forensic audit skills and tools.	1.4.1. Bidder did not demonstrate availability of forensic audit skills and tools.	0	5	
		1.4.2. Bidder has demonstrated availability of either forensic audit skills or tools.	3		
		1.4.3. Bidder has demonstrated availability of forensic audit skills and tools.	5		
	1.5. Demonstration of specialised skills and expertise, with availability of computer audit skills and tools.	1.5.1. Bidder did not demonstrate availability of computer audit skills and tools.	0	10	
		1.5.2. Bidder has demonstrated availability of either computer audit skills or tools.	3		
		1.5.3. Bidder has demonstrated availability of computer audit skills and tools.	5		
	1.6. Demonstration of advanced understanding of the ECIC environment. The bidder must demonstrate advanced understanding of and sufficient exposure to the Public Finance Management Act of 1999, the Treasury Regulations, the Preferential Procurement Policy Framework Act (PPPFA) and its 2022 regulations as they pertain to Schedule 3B Public Entities.	1.6.1. Bidder did not demonstrate advanced understanding of the ECIC environment.	0	10	
		1.6.2. Bidder has demonstrated partial understanding of the ECIC environment.	1-3		
		1.6.3. Bidder has demonstrated understanding of the ECIC environment in full (including advanced understanding of and sufficient exposure to the Public Finance Management Act of 1999, the Treasury Regulations, the Preferential Procurement Policy Framework Act (PPPFA) and its 2022 regulations as they pertain to Schedule 3B Public Entities).	5		

EVALUATION CRITERIA	EVALUATION CRITERIA DESCRIPTION	DESCRIPTION OF POINTS ALLOCATION		SUB POINTS	POINTS
		DETAILS/INFORMATION	Factor		
	1.7. Demonstration of advanced understanding of the insurance legislative (non life) universe.	1.7.1. Bidder did not demonstrate advanced understanding of the insurance legislative (non life) universe.	0	10	
		1.7.2. Bidder has demonstrated partial understanding of the insurance legislative (non life) universe.	1-3		
		1.7.3. Bidder has demonstrated understanding of the insurance legislative (non life) universe.	5		
2. Experience and qualifications of the Director and key team members	2.1. The bidder must demonstrate that the proposed director (or equivalent) has at least ten (10) years internal audit experience, inclusive of financial, performance, governance, risk, and Information and Communication Technology (ICT). 2.2.	2.2.1. Bidder did not demonstrate that the proposed director (or equivalent) has at least ten (10) years internal audit experience, inclusive of financial, performance, governance, risk, and Information and Communication Technology (ICT).	0	15	30
		2.2.2. Bidder has demonstrated that the proposed director (or equivalent) has at least ten (10) years internal audit experience, **with partial experience** in financial, performance, governance, risk, and Information and Communication Technology (ICT).partial understanding of the insurance legislative (non life) universe.	1-3		
		2.2.3. Bidder has demonstrated that the proposed director (or equivalent) has at least ten (10) years internal audit experience, inclusive of financial, performance, governance, risk, and Information and Communication Technology (ICT).	5		

EVALUATION CRITERIA	EVALUATION CRITERIA DESCRIPTION	DESCRIPTION OF POINTS ALLOCATION		SUB POINTS	POINTS
		DETAILS/INFORMATION	Factor		
	2.3. The bidder must demonstrate that: a. The Senior Manager> 5 years internal audit experience, inclusive of Financial, Performance, Governance, Risk, IT and Procurement and Contract management, and is a qualified CA/CIA. b. The Manager and Fieldwork team has relevant internal audit experience in Financial, Performance, Governance, Risk, IT and Procurement and Contract management. c. The Audit firm staff are all own staff, and not outsourced. d. The Audit firm has the necessary technical capacity, such as IFRS specialists.	2.3.1. Bidder did not demonstrate the requirements in sub paragraph 2.3 in this table.	0	15	
		2.3.2. Bidder has demonstrated **partially the requirements** in sub paragraph 2.3 in this table.	1-3		
		2.3.3. Bidder has demonstrated meeting all the requirements in sub paragraph 2.3 in this table.	5		
Total					**100**

16.3. Total points achieved under this evaluation criteria will be rounded to the nearest two decimal places.

16.4. Bidders are expected to obtain a minimum of 80 out of 100 points to proceed to the next evaluation stage of the evaluation. Failure to obtain the prescribed minimum points will automatically disqualify the bid offer from proceeding to the next evaluation phase.

17. Evaluation Phase Three: Preference point system

17.1. The formula below will be used to calculate the lowest acceptable bid price:

$$\mathbf{Ps} = \mathbf{80}\left(\mathbf{1} - \frac{\mathbf{Pt-Pmin}}{\mathbf{Pmin}}\right)$$

Where

Ps = Points scored for price of tender under consideration;
Pt = Comparative price of bid or offer under consideration; and
Pmin = Price of lowest acceptable tender.

17.2. Depending on the bidder's level of Specific Goals, a maximum of 20 Specific Goals points may be awarded to a bidder. The points scored by a bidder for Specific Goals will be added to the points allocated for price.

17.3. The table below reflects the number of points to be allocated to a bidder for Specific Goals:

Table 3

The specific goals allocated points in terms of this tender	Number of points allocated (80/20 system)
B-BBEE Procurement Recognition Level of 135% and at least 50.1% ownership by (or combination thereof): a. Black people, or b. Black female, or c. Black Designated Group, or a. Black Voting Rights.	20
B-BBEE Procurement Recognition Level of at least 110% and at least 30% ownership by (or combination thereof): a. Black people, or b. Black female, or c. Black Designated Group, or a. Black Voting Rights.	10
B-BBEE Procurement Recognition Level of at least 110% and up to 30% ownership by (or combination thereof): a. Black people, or b. Black female, or c. Black Designated Group, or a. Black Voting Rights.	5
Any other B-BBEE Procurement Recognition Level up to 110%.	0

[BIDDERS ARE REQUIRED TO INDICATE, IN ONE BLOCK, THE NUMBER OF POINTS THEY ARE CLAIMING FOR SPECIFIC GOALS IN THE TABLE IN

PAGE 39 (PARAGRAPH 4.1). IN THE EVENT WHERE A BIDDER MAKES A MARK (ONE MARK), ECIC WILL CONSIDER THE CORRESPONDING POINTS TO BE THE ONE WHICH THE BIDDER IS CLAIMING FOR. WHERE A BIDDER MAKES MULTIPLE MARKS OR DOES NOT MAKE ANY MARK OR INDICATION AT ALL IN THE TABLE, THE BIDDER WILL BE ALLOCATED ZERO (0) POINTS FOR SPECIFIC GOALS, NOTWITHSTANDING EVIDENCE PROVIDED.]

17.4. The total points achieved under this evaluation criterion will be rounded to the nearest two decimal places.

18. Document(s) required to substantiate claims for Specific Goals

18.1. For this bid, bidders are requested to provide the following documents in substantiation for their claim of Specific Goals in line with the 2022 Preferential Procurement Regulations:

Table 4

Specific Goals	Document required to substantiate the Specific Goals claim
B-BBEE Procurement Recognition Level	Copy of a valid B-BBEE Certificate or Copy of a valid Sworn Affidavit

18.2. Any bid received from a bidder who did not provide the document requested in this paragraph 18 shall be awarded zero points for Specific Goals.

18.3. Points for Specific Goals will be allocated as indicated in paragraph 17 of this RFP and in the SBD 6.1 Form. Bidders are required to indicate how they claim points for each preference point on the SBD6.1 Form, **in the table on page 39 (paragraph 4.1)**. In the event that a bidder does not indicate the preference points they are claiming, the bidder will be awarded zero (0) points for Specific Goals.

19. Phase Four: Objective criteria

19.1. In this evaluation stage, ECIC will check if the bidder has a person who meet the following criteria in awarding the bid:

19.1.1. The bidder has a significant shareholder or owner (or equivalent) (directly or indirectly) who is classified or can be classified as a Prominent Influential Person (PIP) in accordance with Financial Intelligence Centre Act, 38 of 2001 (FICA).

19.1.2. The bidder has a shareholder or member or owner or director (or equivalent) who has questionable integrity status.

19.1.3. The bidder has a director or equivalent who is classified or can be classified as a PIP in accordance with FICA.

19.2. Should it be found during this evaluation stage, that the bidder who has attained the highest points under Evaluation Stage Four (Preference Point System) has persons listed in paragraphs 19.1.1 to 19.1.3 ECIC reserves the right to conduct further due

diligence on the person(s). Should the outcome of a further due diligence not be satisfactory to ECIC or such a person(s) poses an unacceptable high risk reputation and/or integrity of the person(s) be questionable, ECIC reserves the right not to award the bid to that bidder. This process may be repeated to the next bidder if so required.

20. Phase Five: Condition of award

20.1. ECIC will require the approval of the Prudential Authority for the identified service provider. As such, the following will need to be provided:

20.1.1. Conflict of Interest Policy;

20.1.2. Business Rescue Declaration;

20.1.3. Governance Structures;

20.1.4. Management Policies Framework;

20.1.5. Risk Management Policy;

20.1.6. Information Disclosure Policy;

20.1.7. Professional Indemnity and Public Liability Insurance for Accountants and Auditors.

21. Standard bidding documents

21.1. Bidders are required to complete and attach the following Standard Bidding Documents:

Table 5

DETAILS	REFERENCE NUMBER
Invitation to bid	SBD 1
Declaration of Interest	SBD 4
Preference Points Claim Form for Preferential Procurement Regulations 2022 **Should a bidder not complete and sign the SBD6.1, the bidder will be allocated 0.00 points for Specific Goals.**	SBD 6.1

21.2. ECIC will not award a bid to a bidder who has not submitted complete and signed Standard Bidding Documents, and the Standard Bidding Documents forms part of the condition of award.

22. Instructions to respondents

22.1. Correspondence

22.1.1. No telephonic or any other form of communication with any other ECIC member of staff other than the named individual below, relating to this RFP will be permitted. All enquiries must be in writing only.

22.1.2. All questions relating to the contents of the tender (conditions, rules, terms of reference etc.) must be forwarded in writing via email to procurement@ecic.co.za by not later than 25 November 2025. Questions received after this date will not be entertained.

22.1.3. All questions must reference specific paragraph numbers, where applicable.

22.1.4. All enquiries (received on or before the closing date for enquiries) will be consolidated and ECIC will publish one response document on the ECIC website (www.ecic.co.za) within three working days after the date in indicated in paragraph 22.1.2, on or before 28 November 2025.

22.1.5. No requests for information shall be made to any other person or place, and in particular not to the existing provider of this service.

22.2. Submission of the proposals

22.2.1. Bid documents must be clearly marked for ease of reference and be submitted in PDF format on/or before the closing date and time to the following email address:

procurement@ecic.co.za

22.2.2. The following email submission procedures or protocols must be adhered to ensure safe and secure submission of the tender documents and supporting documents:

22.2.2.1. The tender document, including the supporting or returnable documents should be submitted via email on PDF format.

22.2.2.2. If the PDF tender document, including the supporting or returnable documents is less than 20 Megabytes (MB), it should be submitted as one document. If the electronic bid document is more than 20MB, the electronic tender document should be split in order to adhered to the 20MB email capacity.

22.2.2.3. Bidders are also encouraged to submit a USB detailing their tender proposals.

22.2.3. Only if bidders are experiencing challenges with emailing documents, tenders can be delivered at the ECIC Offices on/or before the closing date and time at:

Byls Bridge Office Park
Building 9, Fourth Floor
11 Byls Bridge Boulevard
Highveld Extension 73
Centurion
0157

22.2.4. **Any proposal received after the closing date and time will not be accepted.**

22.2.5. All proposals and all subsequent information received from respondents will not be returned. The proposals should be addressed to the Head of Procurement of ECIC.

23. Timeline of the bid process

23.1. The period of validity of the tender and the withdrawal of offers, after the closing date and time, is 180 days, expiring on 7 June 2026. If there is a need to extend the bid validity period, ECIC will request, in writing, permission to extend the validity period from all bidders before the expiry of the current validity period.

23.2. After the due date for response from bidders on the request to extend the validity period, ECIC will assume that all bidders have agreed to the request to extend and continue evaluating all bids received at the closing date and time as received on the closing date and time. Any award will be on the quoted bid amount as indicated in the proposal as at the closing date and time of the bid. If a bidder does not agree to extend the validity period on the original terms (as at the closing date of the bid), ECIC will stop evaluating the proposal received from such bidder.

23.3. The project timeframes of this bid are set out below:

Table 6

STAGE	DESCRIPTION OF STAGE	ESTIMATED COMPLETION DATE (OR WORK WEEK ENDING)
1.	Advertisement of bid on the Government e-tender portal /ECIC Website	14 November 2025
2.	Questions relating to the bid from the bidder(s)	25 November 2025
3.	Response to the questions from the bidders	28 November 2025
4.	Bid Closing	9 December 2025
5.	Compliance Evaluation	19 December 2025
6.	Functional Evaluation	6 February 2026
7.	Preference Points	13 February 2026
8.	Bid Adjudication	27 February 2026

STAGE	DESCRIPTION OF STAGE	ESTIMATED COMPLETION DATE (OR WORK WEEK ENDING)
9.	Prudential Authority approval	30 April 2026

23.4. All dates and times in this bid are in South African Standard Time.

23.5. Any time or date in this bid is subject to change at the discretion of ECIC. The establishment of a time or date in this bid does not create an obligation on the part of ECIC to take any action or create any right in any way for any bidder to demand that any action be taken on the date established. The bidder accepts that, if ECIC extends the deadline for bid submission (the Closing Date) for any reason, the requirements of this bid otherwise apply equally to the extended deadline.

23.6. ECIC will notify all bidders of the outcome of the bid within 10 days from the date of acceptance of bid by the identified bidder.

24. Bid rules

24.1. **Awarding a bid**

24.1.1. ECIC will not award a bid to a bidder:

24.1.1.1. Who is or the bidder's director(s), trustee(s), shareholder(s), member(s), partners(s) or any person(s) having a controlling interest in the bidder are restricted from conducting business with the State.

24.1.1.2. Who is in the employ of the State or has a director(s), trustee(s), shareholder(s), member(s), partners(s) or any person(s) having controlling interest in the bidder who is in the employ of the State as contemplated in the Public Administration Management Act, 11 of 2014 and is prohibited from conducting business with the State in terms of section of PAMA.

24.1.1.3. Who is in the service of the State or has a director(s), trustee(s), shareholder(s), member(s), partners(s) or any person(s) having controlling interest in the bidder and has not declared their business interest as required in the applicable SBD4 form.

24.1.1.4. Has been found to have transgressed Prevention and Combating of Corrupt Activities Act,12 of 2004 (as amended).

24.1.1.5. Has been found to have transgressed or is transgressing the Competition Act, 89 of 1998 (as amended).

24.1.2. ECIC shall not award a bid or contract or order to a bidder whose tax affairs are not compliant, except to foreign bidders with no tax obligations in South Africa.

24.1.3. For a bidder or the bidder's director(s), trustee(s), shareholder(s), member(s), partners(s) or any person(s) having controlling interest in the bidder who have declared their business interest as required in the applicable SBD4 form, ECIC will not award a bid to a bidder who has declared their interest and:

24.1.3.1. Is prohibited from conducting business with the State; or

24.1.3.2. Does not have permission to conduct remunerative work outside their employment.

24.1.4. ECIC will verify with the relevant Organ of State to determine if paragraphs 24.1.3.1 and 24.1.3.2 are not applicable.

24.1.5. ECIC will assume that, the person contemplated in paragraph 24.1.3 is prohibited from conducting business with the State or the person does not have permission to conduct remunerative work outside their employment if it does not receive any response within 21 days from such verification request to an Organ of State.

24.1.6. ECIC will then recommend to award the bid to the bidder who achieved the second highest preference points, and should it be established that the bidder who achieved the second highest preference points has a person contemplated in paragraph 24.1.3, ECIC will conduct verification as contemplated in paragraphs 24.1.4 and 24.1.5. This step can be performed by the bidder who achieved the third-highest preference points.

24.2. **Documents/information required as a condition of award**

24.2.1. Proof of registration: valid registration on the National Treasury Central Supplier Database (CSD).

24.2.2. Completed and signed Standard Bidding Forms as follows:

Table 7

Invitation to bid (all bidders must ensure that this Form is duly completed and signed)	SBD 1
Declaration of Interest	SBD 4
Preference Points Claim Form	SBD 6.1

24.3. **Sub-contracting**

24.3.1. ECIC fully endorses the South African Government's transformation and empowerment objectives and in awarding the tender or contract, preference may be given to bidders (Generics) who are willing to subcontract not less

than 30% of the contract to a company which is Black Owned, Black Women Owned, Black Youth Owned, owned by Black People with Disabilities, an EMEs and QSE. EME's and QSE's are allowed to bid without subcontracting.

24.3.2. If contemplating subcontracting, please note that a bidder will not be awarded points for Specific Goals if it is indicated in its Proposal that such bidder intends subcontracting more than 30% (thirty percent) of the value of the contract to an entity/entity that do not qualify for at least the same points that the bidder qualifies for, unless the intended subcontractor is a company which is Black Owned, Black Women Owned, Black Youth Owned, owned by Black People with Disabilities, an EME and QSE , with the capability to execute the subcontract.

24.3.3. A person awarded a contract may not subcontract more than 25% (twenty five) of the value of the contract to any other enterprise that does not have an equal or higher Specific Goals than the person concerned, unless the contract is subcontracted to an EME that has the capability and ability to execute the subcontract.

24.4. **ECIC's rights**

24.4.1. ECIC reserves the right to:

24.4.1.1. Amend any bid conditions, bid validity period, bid specifications, or extend the bid closing date, all before the bid closing date. Such amendments will be posted on the ECIC's website under the relevant tender information. All prospective bidders must therefore ensure that they visit the website of ECIC (www.ecic.co.za) regularly before they submit their bid response to ensure that they are kept updated on any amendments in this regard.

24.4.1.2. Cancel or withdraw this bid at any time, as a whole or in part without reasons and without attracting any liability.

24.4.1.3. Award this bid to more than one bidder.

24.4.1.4. Award this bid in total or part.

24.4.1.5. Negotiate with all or some of the shortlisted bidders.

24.4.1.6. Not accept the lowest priced bid or award the bid to a bidder other than the highest scoring bidder.

24.4.1.7. Conduct site visits at bidder's offices and / or at client sites if so required.

24.4.1.8. Request any relevant information and/ or documents to verify or clarify information supplied in the bid response in relation, but not limited, to the structure of the bidding entity, bidder's capacity, proposed solution, proposed timelines etc.

24.5. **Contract fees**

24.5.1. Where a bidder indicates that its prices are subject to confirmation, or are in any way conditional, such pricing will not be considered.

24.6. **Confidentiality**

24.6.1. Any information relating to the submissions, through the process or otherwise shall be treated in strict confidence. In submitting a response, a Service provider agrees that it shall not be entitled to any information disclosed by another respondent to ECIC, which ECIC has determined to be of a confidential nature. The content and details of the evaluation of submissions will remain confidential to ECIC.

24.7. **Other matters**

24.7.1. If the ECIC does not accept any proposal, it will declare this bid process closed and may then elect to:

24.7.1.1. Proceed on a completely different basis; and/or

24.7.1.2. Not to appoint any respondent (in the event it deems all or any of the proposals not appropriate).

24.7.2. The ECIC reserves the right to engage in any processes required to validate all claims made in the proposal.

24.7.3. The ECIC has the right to enter into negotiation with a prospective Service provider regarding any terms and conditions, including fees, of a proposed contract.

24.8. **Disclaimer**

24.8.1. The ECIC has produced this bid in good faith. However, the ECIC, its agents and its employees and associates, do not warrant its accuracy or completeness. The ECIC will not be liable for any claim whatsoever and howsoever arising (including, without limitation, any claim in contract, negligence or otherwise) for any incorrect or misleading information contained in this bid due to any misinterpretation of this bid.

24.8.2. This bid is a request for proposals only and not an offer document; answers to it must not be construed as acceptance of an offer or imply the existence of a contract between the ECIC and the bidder.

24.9. **Terms of engagement**

24.9.1. The ECIC's engagement of the service provider will be documented in a contract between the ECIC and the appointed bidder.

ANNEXES

Annexure A: Protection of personal information

1. ECIC recognises that when the Bidder submit its proposal in response to this Request for Quotations, it will provide personal information, which ECIC will process for the sole purpose of evaluating the Bidder's proposal. By submitting its proposal in responding to this Request for Quotations, the Bidder hereby provide its consent to the processing of its Personal Information by ECIC.

2. The following terms shall have the meaning ascribed to them:

    2.1. "**Personal Information**" shall bear the same meaning as ascribed to it under POPI;

    2.2. "**POPI**" means Protection of Personal Information Act, No. 4 of 2013;

    2.3. "**Responsible Party**" shall bear the same meaning as ascribed to it under POPI; and

    2.4. "**bid**" means this Request for Quotations.

3. ECIC as the Responsible Party undertakes to:

    3.1. comply with the provisions of POPI as well as all applicable legislation as amended or substituted from time to time;

    3.2. treat all Personal Information strictly as defined within the parameters of POPI;

    3.3. process Personal Information only in accordance with the consent it was obtained for, for the purpose agreed, as permitted by law;

    3.4. secure the integrity and confidentiality of any Personal Information in its possession or under its control by taking appropriate, reasonable technical and organisational measures to prevent loss, damage, unauthorised destruction, access, use, disclosure or any other unlawful processing of Personal Information;

    3.5. not transfer any Personal Information to any third party in a foreign country unless such transfer complies with the relevant provisions of POPI regarding transborder information flows; and

    3.6. not retain any Personal Information for longer than is necessary for achieving the purpose in terms of bid or in fulfilment of any other lawful requirement.

4. ECIC will ensure that all reasonable measures are taken to:

    4.1. identify reasonably foreseeable internal and external risks to the Personal Information in its possession or under its control;

4.2. establish and maintain appropriate security safeguards against the identified risks;

4.3. regularly verify that the security safeguards are effectively implemented;

4.4. ensure that the security safeguards are continually updated in response to new risks or deficiencies in previously implemented safeguards;

4.5. provide immediate notification to the Bidder if a breach in information security or any other applicable security safeguard occurs; provide immediate notification to the Bidder where there are reasonable grounds to believe that the Personal Information has been accessed or acquired by any unauthorised person;

4.6. remedy any breach of a security safeguard in the shortest reasonable time and provide the Bidder with the details of the breach and, if applicable, the reasonable measures implemented to address the security safeguard breach;

4.7. provide immediate notification to the Bidder where the Bidder has, or reasonably suspects that, Personal Information has been processed outside of the purpose agreed to or consented to;

4.8. provide the Bidder, upon request, with all information of any nature whatsoever relating to the processing of the Personal Information for the purpose of the bid and any applicable law; and

4.9. notify the Bidder, if lawful, of receipt of any request for access to Personal Information, in its possession and relating to the Bidder.

5. The Bidder has the right to inspect the Personal Information processing operations, as well as the technical and organisational information security measures employed by the ECIC to ensure compliance with the provisions of this Annexure.

Annexure B: Format for fee proposal (Pricing Example)

This page has been left blank intentionally. Refer to the attached document titled Annexure B (Format for fee proposal (Pricing Example)).

Annexure C: Applicable Standard Bidding Documents

SBD 1

PART A
INVITATION TO BID

YOU ARE HEREBY INVITED TO BID FOR THE REQUIREMENTS OF EXPORT CREDIT INSURANCE CORPORATION OF SOUTH AFRICA					
BID NUMBER:	ECIC03P-2025/26	CLOSING DATE:	9 DECEMBER 2025	CLOSING TIME:	11:00
DESCRIPTION	PROVISION OF INTERNAL AUDIT SERVICES FOR FIVE YEARS				

BID RESPONSE DOCUMENTS MUST BE SENT TO THE FOLLOWING EMAIL ADDRESS:
Preferably via email: procurement@ecic.co.za

BIDDING PROCEDURE ENQUIRIES MAY BE DIRECTED TO		TECHNICAL ENQUIRIES MAY BE DIRECTED TO:	
CONTACT PERSON	Mehnaaz Omar	CONTACT PERSON	Mehnaaz Omar
E-MAIL ADDRESS	procurement@ecic.co.za	E-MAIL ADDRESS	procurement@ecic.co.za

SUPPLIER INFORMATION						
NAME OF BIDDER						
POSTAL ADDRESS						
STREET ADDRESS						
TELEPHONE NUMBER	CODE		NUMBER			
CELL PHONE NUMBER						
FACSIMILE NUMBER	CODE		NUMBER			
E-MAIL ADDRESS						
VAT REGISTRATION NUMBER						
SUPPLIER COMPLIANCE STATUS	TAX COMPLIANCE SYSTEM PIN:		OR	CENTRAL SUPPLIER DATABASE No:	MAAA	
ARE YOU THE ACCREDITED REPRESENTATIVE IN SOUTH AFRICA FOR THE GOODS /SERVICES OFFERED?	☐Yes ☐No [IF YES ENCLOSE PROOF]			ARE YOU A FOREIGN BASED SUPPLIER FOR THE GOODS /SERVICES OFFERED?	☐Yes ☐No [IF YES, ANSWER THE QUESTIONNAIRE BELOW]	

QUESTIONNAIRE TO BIDDING FOREIGN SUPPLIERS		
IS THE ENTITY A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)?	☐ YES	☐ NO
DOES THE ENTITY HAVE A BRANCH IN THE RSA?	☐ YES	☐ NO
DOES THE ENTITY HAVE A PERMANENT ESTABLISHMENT IN THE RSA?	☐ YES	☐ NO
DOES THE ENTITY HAVE ANY SOURCE OF INCOME IN THE RSA?	☐ YES	☐ NO
IS THE ENTITY LIABLE IN THE RSA FOR ANY FORM OF TAXATION?	☐ YES	☐ NO

IF THE ANSWER IS "NO" TO ALL OF THE ABOVE, THEN IT IS NOT A REQUIREMENT TO REGISTER FOR A TAX COMPLIANCE STATUS SYSTEM PIN CODE FROM THE SOUTH AFRICAN REVENUE SERVICE (SARS) AND IF NOT REGISTER AS PER 2.3 BELOW.

PART B
TERMS AND CONDITIONS FOR BIDDING

1. BID SUBMISSION:
1.1. BIDS MUST BE DELIVERED BY THE STIPULATED TIME TO THE CORRECT ADDRESS. LATE BIDS WILL NOT BE ACCEPTED FOR CONSIDERATION.
1.2. **ALL BIDS MUST BE SUBMITTED ON THE OFFICIAL FORMS PROVIDED– (NOT TO BE RE-TYPED) OR IN THE MANNER PRESCRIBED IN THE BID DOCUMENT.**
1.3. THIS BID IS SUBJECT TO THE PREFERENTIAL PROCUREMENT POLICY FRAMEWORK ACT, 2000 AND THE PREFERENTIAL PROCUREMENT REGULATIONS, THE GENERAL CONDITIONS OF CONTRACT (GCC) AND, IF APPLICABLE, ANY OTHER SPECIAL CONDITIONS OF CONTRACT.
~~1.4. THE SUCCESSFUL BIDDER WILL BE REQUIRED TO FILL IN AND SIGN A WRITTEN CONTRACT FORM (SBD7).~~
2. TAX COMPLIANCE REQUIREMENTS
2.1 BIDDERS MUST ENSURE COMPLIANCE WITH THEIR TAX OBLIGATIONS.
2.2 BIDDERS ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN) ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VERIFY THE TAXPAYER'S PROFILE AND TAX STATUS.
2.3 APPLICATION FOR TAX COMPLIANCE STATUS (TCS) PIN MAY BE MADE VIA E-FILING THROUGH THE SARS WEBSITE WWW.SARS.GOV.ZA.
2.4 BIDDERS MAY ALSO SUBMIT A PRINTED TCS CERTIFICATE TOGETHER WITH THE BID.
2.5 IN BIDS WHERE CONSORTIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED; EACH PARTY MUST SUBMIT A SEPARATE TCS CERTIFICATE / PIN / CSD NUMBER.
2.6 WHERE NO TCS PIN IS AVAILABLE BUT THE BIDDER IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED.
2.7 NO BIDS WILL BE CONSIDERED FROM PERSONS IN THE SERVICE OF THE STATE, COMPANIES WITH DIRECTORS WHO ARE PERSONS IN THE SERVICE OF THE STATE, OR CLOSE CORPORATIONS WITH MEMBERS PERSONS IN THE SERVICE OF THE STATE."

NB: FAILURE TO PROVIDE / OR COMPLY WITH ANY OF THE ABOVE PARTICULARS MAY RENDER THE BID INVALID.

SIGNATURE OF BIDDER: ……

CAPACITY UNDER WHICH THIS BID IS SIGNED: ……
(Proof of authority must be submitted e.g., company resolution)

DATE: ……

SBD 4

BIDDER'S DISCLOSURE

1. PURPOSE OF THE FORM

1.1. Any person (natural or juristic) may make an offer or offers in terms of this invitation to bid. In line with the principles of transparency, accountability, impartiality, and ethics as enshrined in the Constitution of the Republic of South Africa and further expressed in various pieces of legislation, it is required for the bidder to make this declaration in respect of the details required hereunder.

1.2. Where a person/s are listed in the Register for Tender Defaulters and / or the List of Restricted Suppliers, that person will automatically be disqualified from the bid process.

2. BIDDER'S DECLARATION

2.1.	Is the bidder, or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest[2] in the enterprise, employed by the state?	**YES/NO**

2.1.1. If so, furnish particulars of the names, individual identity numbers, and, if applicable, state employee numbers of sole proprietor/ directors / trustees / shareholders / members/ partners or any person having a controlling interest in the enterprise, in table below.

Full Name	Identity Number	Name of State institution

2.2.	Do you, or any person connected with the bidder, have a relationship with any person who is employed by the procuring institution?	**YES/NO**

2.2.1. If so, furnish particulars:

[2] the power, by one person or a group of persons holding the majority of the equity of an enterprise, alternatively, the person/s having the deciding vote or power to influence or to direct the course and decisions of the enterprise.

Full Name (Name of person employed by Export Credit Corporation of South Africa (SOC) Ltd, the procuring institution)	Relationship

2.3.	Does the bidder or any of its directors / trustees / shareholders / members / partners or any person having a controlling interest in the enterprise have any interest in any other related enterprise whether or not they are bidding for this contract?	**YES/NO**

2.3.1. If so, furnish particulars:

Name of Related Enterprise	Registration (ID) Number

3. DECLARATION

I, the undersigned, in submitting the accompanying bid, do hereby make the following statements that I certify to be true and complete in every respect:

3.1. I have read and I understand the contents of this disclosure;

3.2. I understand that the accompanying bid will be disqualified if this disclosure is found not to be true and complete in every respect;

3.3. The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement or arrangement with any competitor. However, communication between partners in a joint venture or consortium[3] will not be construed as collusive bidding.

3.4. In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications, prices, including methods, factors or formulas used to calculate prices, market allocation, the intention or decision to submit or not to submit the bid, bidding with the intention not to win the bid and conditions or delivery particulars of the products or services to which this bid invitation relates.

3.5. The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.

3.6. There have been no consultations, communications, agreements or arrangements made by the bidder with any official of the procuring institution in relation to this procurement process prior to and during the bidding process except to provide clarification on the bid submitted where so required by the institution; and the bidder was not involved in the drafting of the specifications or terms of reference for this bid.

3.7. I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

[3] Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.

I CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 1, 2 and 3 ABOVE IS CORRECT.

I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME IN TERMS OF PARAGRAPH 6 OF PFMA SCM INSTRUCTION 03 OF 2021/22 ON PREVENTING AND COMBATING ABUSE IN THE SUPPLY CHAIN MANAGEMENT SYSTEM SHOULD THIS DECLARATION PROVE TO BE FALSE.

Name of declarer			
Position/Title of declarer			
Name of bidder			
Signature of declarer		Date of signature	

SBD 6.1

PREFERENCE POINTS CLAIM FORM IN TERMS OF THE PREFERENTIAL PROCUREMENT REGULATIONS 2022

This preference form must form part of all tenders invited. It contains general information and serves as a claim form for preference points for specific goals.

NB: BEFORE COMPLETING THIS FORM, TENDERERS MUST STUDY THE GENERAL CONDITIONS, DEFINITIONS AND DIRECTIVES APPLICABLE IN RESPECT OF THE TENDER AND PREFERENTIAL PROCUREMENT REGULATIONS, 2022

1 GENERAL CONDITIONS

1.1 The following preference point systems are applicable to invitations to tender:

1.1.1 the 80/20 system for requirements with a Rand value of up to R50 000 000 (all applicable taxes included); and

1.1.2 the 90/10 system for requirements with a Rand value above R50 000 000 (all applicable taxes included).

1.2 To be completed by the organ of state
(*delete whichever is not applicable for this tender*).

~~1.2.1 The applicable preference point system for this tender is the 90/10 preference point system.~~

1.2.2 The applicable preference point system for this tender is the 80/20 preference point system.

~~1.2.3 Either the 90/10 or 80/20 preference point system will be applicable in this tender. The lowest/ highest acceptable tender will be used to determine the accurate system once tenders are received.~~

1.3 Points for this tender (even in the case of a tender for income-generating contracts) shall be awarded for:

1.3.1 Price; and

1.3.2 Specific Goals.

1.4 To be completed by the organ of state:

The maximum points for this tender are allocated as follows:

	POINTS
PRICE	80.00
SPECIFIC GOALS	20.00
TOTAL POINTS FOR PRICE AND SPECIFIC GOALS	**100.00**

1.5 Failure on the part of a tenderer to submit proof or documentation required in terms of this tender to claim points for Specific Goals with the tender, will be interpreted to mean that preference points for specific goals are not claimed.

1.6 The organ of state reserves the right to require of a tenderer, either before a tender is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the organ of state.

2 DEFINITIONS

2.1 **"tender"** means a written offer in the form determined by an organ of state in response to an invitation to provide goods or services through price quotations, competitive tendering process or any other method envisaged in legislation;

2.2 **"price"** means an amount of money tendered for goods or services, and includes all applicable taxes less all unconditional discounts;

2.3 **"rand value"** means the total estimated value of a contract in Rand, calculated at the time of bid invitation, and includes all applicable taxes;

2.4 **"tender for income-generating contracts"** means a written offer in the form determined by an organ of state in response to an invitation for the origination of income-generating contracts through any method envisaged in legislation that will result in a legal agreement between the organ of state and a third party that produces revenue for the organ of state, and includes, but is not limited to, leasing and disposal of assets and concession contracts, excluding direct sales and disposal of assets through public auctions; and

2.5 **"the Act"** means the Preferential Procurement Policy Framework Act, 2000 (Act No. 5 of 2000).

3 FORMULAE FOR PROCUREMENT OF GOODS AND SERVICES

3.1 POINTS AWARDED FOR PRICE

3.1.1 THE 80/20 OR 90/10 PREFERENCE POINT SYSTEMS

A maximum of 80 or 90 points is allocated for price on the following basis:

80/20 **or** **90/10**

$$Ps = 80\left(1 - \frac{Pt - P\,min}{P\,min}\right) \text{ or } \quad Ps = 90\left(1 - \frac{Pt - P\,min}{P\,min}\right)$$

Where

Ps	=	Points scored for price of tender under consideration
Pt	=	Price of tender under consideration
Pmin	=	Price of lowest acceptable tender

~~3.2 FORMULAE FOR DISPOSAL OR LEASING OF STATE ASSETS AND INCOME GENERATING PROCUREMENT~~

~~3.2.1 POINTS AWARDED FOR PRICE~~

~~A maximum of 80 or 90 points is allocated for price on the following basis:~~

~~**80/20** **or** **90/10**~~

$$Ps = 80\left(1 + \frac{Pt - P\,max}{P\,max}\right) \text{ or } \quad Ps = 90\left(1 + \frac{Pt - P\,max}{P\,max}\right)$$

~~Where~~

~~Ps~~	~~=~~	~~Points scored for price of tender under consideration~~
~~Pt~~	~~=~~	~~Price of tender under consideration~~
~~Pmax~~	~~=~~	~~Price of highest acceptable tender~~

4 POINTS AWARDED FOR SPECIFIC GOALS

4.1 In terms of Regulation 4(2); 5(2); 6(2) and 7(2) of the Preferential Procurement Regulations, preference points must be awarded for Specific Goals stated in the tender. For the purposes of this tender the tenderer will be allocated points based on the goals stated in table 1 below as may be supported by proof/ documentation stated in the conditions of this tender:

Table 1: Specific goals for the tender and points claimed are indicated per the table below.
(Note to organs of state: Where either the 90/10 or 80/20 preference point system is applicable, corresponding points must also be indicated as such.
***Note to tenderers: The tenderer must indicate how they claim points for each preference point system.*)**

The specific goals allocated points in terms of this tender	Number of points allocated (80/20 system) (To be completed by the organ of state)	Number of points claimed (80/20 system) (To be completed by the tenderer) (Select or tick or indicate or place a mark in one block only)
B-BBEE Procurement Recognition Level of 135% and at least 50.1% ownership by (or combination thereof): a. Black people, or b. Black female, or c. Black Designated Group, or d. Black Voting Rights.	20	
B-BBEE Procurement Recognition Level of at least 110% and at least 30% ownership by (or combination thereof): a. Black people, or b. Black female, or d. Black Designated Group, or c. Black Voting Rights.	10	
B-BBEE Procurement Recognition Level of at least 110% and up to 30% ownership by (or combination thereof): a. Black people, or b. Black female, or c. Black Designated Group, or d. Black Voting Rights.	5	
Any other B-BBEE Procurement Recognition Level up to 110%.	0	

[BIDDERS ARE REQUIRED TO INDICATE, IN ONE BLOCK, THE NUMBER OF POINTS THEY ARE CLAIMING FOR SPECIFIC GOALS IN THE TABLE ABOVE. IN THE EVENT WHERE A BIDDER MAKES A MARK (ONE MARK), ECIC WILL CONSIDER THE

CORRESPONDING POINTS TO BE THE ONE WHICH THE BIDDER IS CLAIMING FOR. WHERE A BIDDER MAKES MULTIPLE MARKS OR DOES NOT MAKE ANY MARK OR INDICATION AT ALL IN THE TABLE, THE BIDDER WILL BE ALLOCATED ZERO (0) POINTS FOR SPECIFIC GOALS.]

4.2 In cases where organs of state intend to use Regulation 3(2) of the Regulations, which states that, if it is unclear whether the 80/20 or 90/10 preference point system applies, an organ of state must, in the tender documents, stipulate in the case of—

4.2.1 an invitation for tender for income-generating contracts, that either the 80/20 or 90/10 preference point system will apply and that the highest acceptable tender will be used to determine the applicable preference point system; or

4.2.2 any other invitation for tender, that either the 80/20 or 90/10 preference point system will apply and that the lowest acceptable tender will be used to determine the applicable preference point system,

then the organ of state must indicate the points allocated for specific goals for both the 90/10 and 80/20 preference point system.

DECLARATION WITH REGARD TO COMPANY/FIRM

NAME OF COMPANY/FIRM				
COMPANY REGISTRATION NUMBER (ID NUMBER)				
TYPE OF COMPANY/ FIRM [TICK APPLICABLE BOX]				
Partnership/Joint Venture / Consortium			Personal Liability Company	
One-person business/sole propriety			(Pty) Limited	
Close corporation			Non-Profit Company	
Public Company			State Owned Company	

4.3 I, the undersigned, who is duly authorised to do so on behalf of the company/firm, certify that the points claimed, based on the specific goals as advised in the tender, qualifies the company/ firm for the preference(s) shown and I acknowledge that:

4.4 The information furnished is true and correct;

4.4.1 The preference points claimed are in accordance with the General Conditions as indicated in paragraph 1 of this form;

4.4.2 In the event of a contract being awarded as a result of points claimed as shown in paragraphs 1.4 and 4.2, the contractor may be required to furnish documentary proof to the satisfaction of the organ of state that the claims are correct;

4.4.3 If the specific goals have been claimed or obtained on a fraudulent basis or any of the conditions of contract have not been fulfilled, the organ of state may, in addition to any other remedy it may have –

4.4.3.1 disqualify the person from the tendering process;

4.4.3.2 recover costs, losses or damages it has incurred or suffered as a result of that person's conduct;

4.4.3.3 cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such cancellation;

4.4.3.4 recommend that the tenderer or contractor, its shareholders and directors, or only the shareholders and directors who acted on a fraudulent basis, be restricted from obtaining business from any organ of state for a period not exceeding 10 years, after the *audi alteram partem* (hear the other side) rule has been applied; and

4.4.3.5 forward the matter for criminal prosecution, if deemed necessary.

SIGNATURE(S) OF TENDERER(S)	
NAME AND SURNAME (AUTHORISED SIGNATORY)	
SIGNATURE	
ADDRESS	
DATE OF SIGNATURE	

Annexure D: Checklist

Hereunder is a checklist to ensure that the bid document is complete in terms of administrative compliance. Please ensure that the following forms have been completed and signed and that all documents, as requested, are attached to the tender document.

ITEM	DOCUMENT REFERENCE		ACTION TO BE TAKEN	YES/ NO
1.	SBD 1	Invitation to bid	Is the form duly competed and signed?	
2.	SBD 4	Declaration of Interest	Is the form duly competed and signed?	
3.	SBD 6.1	Preference Points Claim Form for Preferential Procurement Regulations 2022	Is the form duly competed, Specific Goals points claimed, and form signed? Refer to **the table in page 39 (paragraph 4.1).**	
4.	B-BBEE status level verification certificate or Sworn Affidavit		Is proof of the B-BBEE Status level of contributor attached? Bidder must attach a valid copy of the B-BBEE Certificate or a copy of the Sworn Affidavit, whichever is applicable.	
5.	CSD Report or MAAA Number			
6.	Tender submission (if the bidder is submitting physical information/ documents)		Two (2) printed copies (one original and one copy) submitted? (only when submitting physical copies)	
			One (1) electronic copy submitted?	

I, the undersigned (name) …… certify that the information furnished on this checklist is true and correct.

Position/Title of declarer			
Name of bidder			
Signature of declarer		Date of signature	